ATTORNEY GENERAL OF TEXAS
GREG ABBOTT

November 7, 2006

Ms. Karen Hattaway
Senior Counsel
Office of Legal Services
Texas Education Agency
1701 North Congress Avenue
Austin, Texas 78701-1494

OR2006-13177

Dear Ms. Hattaway:

You ask whether certain information is subject to required public disclosure under the Public Information Act (the "Act"), chapter 552 of the Government Code. Your request was assigned ID# 264188.

The Texas Education Agency (the "agency") received a request for audits of the North Forest Independent School District (the "district") since 2003, all documents related to the monitoring of the district since 2003, and a record of all intervention the district has had from the agency since 1985. You state that you have released some of the requested information that is subject to section 552.022(a)(1) of the Government Code. *See* Gov't Code § 552.022(a)(1). You claim that the submitted information is excepted from disclosure under sections 552.111, 552.116, and 552.137 of the Government Code. We have considered the exceptions you claim and reviewed the submitted information.[1]

Section 552.116 of the Government Code provides as follows:

> (a) An audit working paper of an audit of the state auditor or the auditor of a state agency, an institution of higher education as defined by Section 61.003, Education Code, a county, a municipality, or a joint board operating under Section 22.074, Transportation Code, is excepted from the

[1]We assume that the representative sample of records submitted to this office is truly representative of the requested records as a whole. *See* Open Records Decision Nos. 499 (1988), 497 (1988). This open records letter does not reach, and therefore does not authorize the withholding of, any other requested records to the extent that those records contain substantially different types of information than that submitted to this office.

requirements of Section 552.021. If information in an audit working paper is also maintained in another record, that other record is not excepted from the requirements of Section 552.021 by this section.

(b) In this section:

(1) "Audit" means an audit authorized or required by a statute of this state or the United States, the charter or an ordinance of a municipality, an order of the commissioners court of a county, or a resolution or other action of a joint board described by Subsection (a) and includes an investigation.

(2) "Audit working paper" includes all information, documentary or otherwise, prepared or maintained in conducting an audit or preparing an audit report, including:

(A) intra-agency and interagency communications; and

(B) drafts of the audit report or portions of those drafts.

Gov't Code § 552.116. You state that the submitted information consists of two types of audit working papers, financial audit working papers and low-performing campus audit working papers. You claim that the financial audit working papers, which you have marked, are held by the agency's Division of Financial Audits and relate to the agency's audit of the district as authorized under sections 44.008 and 44.010 of the Education Code. *See* Educ. Code §§ 44.008 (requiring school districts and charter schools to file annual audits with the agency for review), 44.010 (requiring the agency to review audits to determine whether all legal requirements have been met). Further, you claim that the low-performing campus audit working papers, which you have marked, are held by the agency's Division of Program Monitoring and Interventions and relate to the agency's audit of the district's high school as previously authorized under section 39.132(a)(7) of the Education Code. *See* Educ. Code § 39.132(a)(7) (statutory predecessor authorizing audits of low-performing campuses). After reviewing your arguments and the information at issue, we agree that the submitted information may be withheld under section 552.116 of the Government Code.[2]

This letter ruling is limited to the particular records at issue in this request and limited to the facts as presented to us; therefore, this ruling must not be relied upon as a previous determination regarding any other records or any other circumstances.

This ruling triggers important deadlines regarding the rights and responsibilities of the governmental body and of the requestor. For example, governmental bodies are prohibited

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[2]Because our ruling is dispositive, we need not address your remaining arguments.

from asking the attorney general to reconsider this ruling. Gov't Code § 552.301(f). If the governmental body wants to challenge this ruling, the governmental body must appeal by filing suit in Travis County within 30 calendar days. *Id.* § 552.324(b). In order to get the full benefit of such an appeal, the governmental body must file suit within 10 calendar days. *Id.* § 552.353(b)(3), (c). If the governmental body does not appeal this ruling and the governmental body does not comply with it, then both the requestor and the attorney general have the right to file suit against the governmental body to enforce this ruling. *Id.* § 552.321(a).

If this ruling requires the governmental body to release all or part of the requested information, the governmental body is responsible for taking the next step. Based on the statute, the attorney general expects that, upon receiving this ruling, the governmental body will either release the public records promptly pursuant to section 552.221(a) of the Government Code or file a lawsuit challenging this ruling pursuant to section 552.324 of the Government Code. If the governmental body fails to do one of these things, then the requestor should report that failure to the attorney general's Open Government Hotline, toll free, at (877) 673-6839. The requestor may also file a complaint with the district or county attorney. *Id.* § 552.3215(e).

If this ruling requires or permits the governmental body to withhold all or some of the requested information, the requestor can appeal that decision by suing the governmental body. *Id.* § 552.321(a); *Texas Dep't of Pub. Safety v. Gilbreath*, 842 S.W.2d 408, 411 (Tex. App.—Austin 1992, no writ).

Please remember that under the Act the release of information triggers certain procedures for costs and charges to the requestor. If records are released in compliance with this ruling, be sure that all charges for the information are at or below the legal amounts. Questions or complaints about over-charging must be directed to Hadassah Schloss at the Office of the Attorney General at (512) 475-2497.

If the governmental body, the requestor, or any other person has questions or comments about this ruling, they may contact our office. Although there is no statutory deadline for contacting us, the attorney general prefers to receive any comments within 10 calendar days of the date of this ruling.

Sincerely,

Jacyn N. Thompson
Assistant Attorney General
Open Records Division

JNT/dh

Ref: ID# 264188

Enc. Submitted documents

c: Ms. Ericka Mellon
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(w/o enclosures)