



ATTORNEY GENERAL OF TEXAS  
GREG ABBOTT

May 8, 2009

Ms. Neera Chatterjee  
Ms. Barbara M. Holthaus  
Office of General Counsel  
The University of Texas System  
201 West Seventh Street  
Austin, Texas 78701-2902

OR2009-06163

Dear Ms. Chatterjee and Ms. Holthaus:

You ask whether certain information is subject to required public disclosure under the Public Information Act (the "Act"), chapter 552 of the Government Code. Your request was assigned ID# 342452.

The University of Texas Health Science Center at Houston (the "university") received a request for all records in a specified time period in the possession of a named university professor that pertain to the requestor. You state that you are releasing a portion of the responsive documents to the requestor. You claim that portions of the submitted information are excepted from disclosure under sections 552.107 and 552.117 of the Government Code. We have considered the exceptions you claim and reviewed the submitted representative sample of information.<sup>1</sup> We have also received and considered comments submitted by the requestor. *See* Gov't Code § 552.304 (interested party may submit comments stating why information should or should not be released).

Initially, we note that a portion of the submitted information is not responsive to the instant request for information. The requestor states that the request does not include e-mails sent to or from the requestor. However, you have submitted e-mail chains including e-mails sent to or from the requestor. Thus, the e-mails sent to or from the requestor are not responsive to the present request. This ruling does not address the public availability of any information that is not responsive to the request and the university is not required to release that information in response to the request.

---

<sup>1</sup>We assume that the "representative sample" of records submitted to this office is truly representative of the requested records as a whole. *See* Open Records Decision Nos. 499 (1988), 497 (1988). This open records letter does not reach, and therefore does not authorize the withholding of, any other requested records to the extent that those records contain substantially different types of information than that submitted to this office.

Next, you claim that the responsive information contained in TAB 5 is confidential under 552.107 of the Government Code. Section 552.107 of the Government Code protects information coming within the attorney-client privilege. When asserting the attorney-client privilege, a governmental body has the burden of providing the necessary facts to demonstrate the elements of the privilege in order to withhold the information at issue. Open Records Decision No. 676 at 6-7 (2002). First, a governmental body must demonstrate that the information constitutes or documents a communication. *Id.* at 7. Second, the communication must have been made “for the purpose of facilitating the rendition of professional legal services” to the client governmental body. TEX. R. EVID. 503(b)(1). The privilege does not apply when an attorney or representative is involved in some capacity other than that of providing or facilitating professional legal services to the client governmental body. *In re Tex. Farmers Inc. Exch.*, 990 S.W.2d 337, 340 (Tex. App.—Texarkana 1999, orig proceeding) (attorney-client privilege does not apply if attorney acting in a capacity other than that of attorney). Governmental attorneys often act in capacities other than that of professional legal counsel, such as administrators, investigators, or managers. Thus, the mere fact that a communication involves an attorney for the government does not demonstrate this element. Third, the privilege applies only to communications between or among clients, client representatives, lawyers, and lawyer representatives. TEX. R. EVID. 503(b)(1)(A)-(E). Thus, a governmental body must inform this office of the identities and capacities of the individuals to whom each communication at issue has been made. Finally, the attorney-client privilege applies only to a confidential communication, meaning it was “not intended to be disclosed to third persons other than those to whom disclosure is made in furtherance of the rendition of professional legal services to the client or those reasonably necessary for the transmission of the communication.” *Id.* 503(a)(5). Whether a communication meets this definition depends on the intent of the parties involved at the time the information was communicated. *Osborne v. Johnson*, 954 S.W.2d 180, 184 (Tex. App.—Waco 1997, no writ). Moreover, because the client may elect to waive the privilege at any time, a governmental body must explain that the confidentiality of a communication has been maintained. Section 552.107(1) generally excepts an entire communication that is demonstrated to be protected by the attorney-client privilege unless otherwise waived by the governmental body. *See Huie v. DeShazo*, 922 S.W.2d 920, 923 (Tex. 1996) (privilege extends to entire communication, including facts contained therein).

You claim that the responsive communications in TAB 5 are protected by the attorney-client privilege. You explain that this information consists of confidential communications between university attorneys and university employees. You indicate that these communications were made in furtherance of the rendition of professional legal services. Based on your representations and our review, we conclude that the university may withhold the responsive communications you have marked under section 552.107(1) of the Government Code.

You next claim that a portion of the information contained in TAB 6 is confidential under 552.117 of the Government Code. Section 552.117(a)(1) excepts from disclosure the current and former home addresses and telephone numbers, social security numbers, and

family member information of current or former officials or employees of a governmental body who request this information be kept confidential under section 552.024 of the Government Code. Gov't Code § 552.117(a)(1). Whether information is protected by section 552.117(a)(1) must be determined at the time the request for it is made. *See* Open Records Decision No. 530 at 5 (1989). Pursuant to section 552.117(a)(1), the university must withhold the personal information of an employee of the university who elected, prior to the university's receipt of the request for information, to keep such information confidential. You have not informed us whether or not the named employee whose information is at issue chose to withhold his personal information prior to the university's receipt of the request for information. Therefore, if the employee timely elected to withhold his information, the university must withhold this information, which you have marked, in TAB 6 pursuant to section 552.117(a)(1) of the Government Code. If the employee did not timely elect to withhold his personal information, then the university may not withhold any of the marked information in TAB 6 under section 552.117(a)(1) of the Government Code.

In summary, the university may withhold the responsive e-mails you have marked under section 552.107 of the Government Code in TAB 5. If the employee timely elected to withhold his personal information, the university must withhold the marked information in TAB 6 pursuant to section 552.117(a)(1) of the Government Code. The remaining information must be released.

This letter ruling is limited to the particular information at issue in this request and limited to the facts as presented to us; therefore, this ruling must not be relied upon as a previous determination regarding any other information or any other circumstances.

This ruling triggers important deadlines regarding the rights and responsibilities of the governmental body and of the requestor. For more information concerning those rights and responsibilities, please visit our website at [http://www.oag.state.tx.us/open/index\\_orl.php](http://www.oag.state.tx.us/open/index_orl.php), or call the Office of the Attorney General's Open Government Hotline, toll free, at (877) 673-6839. Questions concerning the allowable charges for providing public information under the Act must be directed to the Cost Rules Administrator of the Office of the Attorney General at (512) 475-2497.

Sincerely,



Sarah Casterline  
Assistant Attorney General  
Open Records Division

SEC/jb

Ref: ID# 342452

Enc. Submitted documents

c: Requestor  
(w/o enclosures)