

**OFFICE OF THE ATTORNEY GENERAL
CRIME VICTIM SERVICES DIVISION**

**Other Victim Assistance Grants (OVAG)
Victim Coordinator and Liaison Grants (VCLG)**

FY 2012-2013 Grant Application Kit

**REGISTRATION DEADLINE:
5:00 p.m. CST Monday, March 14, 2011**

**APPLICATION DEADLINE:
5:00 p.m. CST Friday, April 1, 2011**

This Kit contains the following:

- I. General Instructions**
- II. Definitions (Output Definitions and General Definitions)**
- III. Specific Instructions for Tabs in Excel Workbook**
- IV. Certifications and Assurances**
- V. Required Attachments A & B**

***NOTE: Be sure to download the Excel workbook, which is also part of the Application Kit.
The Excel workbook is a separate electronic file. See additional instructions in this Kit.***

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I. GENERAL INSTRUCTIONS

Other Victim Assistance Grant (OVAG) Program Victim Coordinator and Liaison Grant (VCLG) Program

How to Obtain an Application Kit

The OAG will post the Application Kit on the OAG's official agency website at <http://www.oag.state.tx.us/victims/grants.shtml>. Updates and other helpful reminders about the application process will also be posted at this location. Potential Applicants are encouraged to refer to this site regularly.

This Application Kit provides the information and forms necessary to prepare an Application for funding through the Office of the Attorney General (OAG), Crime Victim Services Division (CVSD) for the OVAG and the VCLG Programs.

- An Applicant may submit an application for either one OVAG or one VCLG, but not both.
- Applicants seeking funding for a VCLG purpose area are limited to registering and applying for VCLG funding only.
- If eligible, an OVAG Applicant may also apply for one SAPCS-State as well as one SAPCS-Federal funding opportunity (in separate application kits).
- An Applicant must register on-line their intent to apply for each grant opportunity.
- A separate Application must be submitted for each grant opportunity.

Applicant Registration – Deadline March 14, 2011

All applicants are required to complete on-line Applicant Registration in order to apply for FY 2012-13 grants. To register go to: <http://www.oag.state.tx.us/victims/grants.shtml>.

- **The deadline to register is 5:00 p.m. CST Monday, March 14, 2011.**
- After registering, applicants will receive a Unique Application Number (UAN).
- The UAN will be used by the OAG to track the receipt of applications and related documents from registered Applicants.
- OVAG applicants only: After completing the registration for an OVAG grant, the Applicant will be able to also submit registrations for any other eligible grant opportunities.

The UAN assigned must be included on all documents submitted to the OAG.

On-line Registration is required. If registration is not completed by 5:00 pm CST March 14, 2011, then an Application will not be accepted and is not eligible for funding.

Application Submission – Deadline April 1, 2011

The Application consists of an Excel workbook, Attachment A, Attachment B, Job Descriptions for the personnel requested in the budget, and Collaborative Agreements, if applicable.

The Applicant must submit an Application for OVAG or VCLG funding to be received by the OAG no later than 5:00 p.m. CST on April 1, 2011 to be considered for funding. **To meet the deadline, the application must be submitted by both hard copy and e-mail.**

1. Hard copies:

- The Applicant must use a Next Day Air Overnight Delivery Service that tracks its deliveries. **Submission by Next Day Air Overnight Delivery Service ensures that your Application can be tracked.**
- The Applicant must submit:
 - One (1) Original Application
 - One (1) Excel Workbook
 - One (1) Attachment A containing original signatures
 - One (1) Attachment B containing original signatures
 - One (1) Job Description for each position requested on the proposed budget
 - Collaborative Agreement(s) for each collaboration (if required by the Applicant to achieve the goals of the proposed project as described in the Application).
 - Three (3) Hard Copies of the completed Excel Workbook. (Not including the attachments).
- The Application should be printed on 8.5 x 11 inch paper. Separate each Application with a binder clip. Do not staple or otherwise bind Applications.
- The Application must be sent to the following address:

CVS GRANTS APPLICATIONS – MC 005
OFFICE OF THE ATTORNEY GENERAL
300 W 15TH ST RM 102
AUSTIN, TX 78701-1649

2. E-mail copies:

- The Applicant must submit:
 - One (1) Application (Excel workbook).
- The Excel workbook must be sent to the following e-mail address:
CVSGrantsApplications@oag.state.tx.us
- An auto-reply message will be generated by the OAG for e-mail received at this address. If the Applicant does not receive an auto-reply message, they are strongly encouraged to contact the OAG immediately at (512) 936-1278.

The OAG accepts no responsibility for delays in shipping. Applicants are strongly advised to allow for and anticipate any such delays by sending the Application as early as possible. Late Applications will not be considered under any circumstances.

For security purposes the OAG cannot accept Applications submitted in other formats, including walk-in or hand delivery or same day courier service.

Proof of sending a document by e-mail or other means is not proof that the OAG received the information.

Please contact the OAG if there are any questions about the method of delivery. The OAG will not consider or fund an Application if it is not filed by the due date.

Required Software

Microsoft Excel 97 or newer version is required to complete the Application and apply for a grant. *Adobe Reader* is required to access the Application Kit. *Adobe Reader* can be downloaded for free at www.adobe.com.

Availability of Funds

The OVAG and VCLG Programs receive appropriations from the Texas Compensation to Victims of Crime Fund (Fund 469), pursuant to Article 56.541 of the Texas Code of Criminal Procedure. All funding is contingent upon an appropriation to the OAG by the Texas Legislature. The OAG makes no commitment that an Application, once submitted, or a grant, once funded, will receive subsequent funding.

Grant Contract Period - Up to Two Years

The term of this grant contract is up to two years from September 1, 2011 through August 31, 2013, subject to and contingent on funding and approval by the OAG. If the grant contract period extends for more than one state fiscal year, the grantee may be required to submit additional documentation relating to the second fiscal year of the grant contract period, including an updated budget. The OAG may base its decision for the second fiscal year funding amounts on the grantee's first year performance, including but not limited to: the timeliness and thoroughness of reporting, effective and efficient use of grant funds and the success of the project in meeting its goals.

Eligible Applicants

The following entities are eligible to apply under the OVAG Program:

- Local units of government;
- Non-profit agencies with 26 U.S.C. § 501(c)(3) status; or
- State agencies, including universities.

The following entities are eligible to apply under the VCLG Program:

- A local criminal prosecutor may apply for a grant to fund a position, or part of a position, for a victim assistance coordinator.
- A local law enforcement agency may apply for a grant to fund a position or part of a position, for a crime victim liaison.

Eligible Budget Categories

- Personnel
- Fringe Benefits
- Professional and Consultant Services
- Travel
- Equipment
- Supplies
- Other Direct Operating Expenses

Ineligible Costs

Ineligible costs include, but are not limited to:

- Payment for overtime, out-of-state travel, dues, or lobbying
- Purchasing food and beverages except as allowed under Texas State Travel Guidelines
- Purchasing or leasing vehicles
- Purchasing promotional items or recreational activities
- Paying for travel that is unrelated to the direct delivery of services that supports the OAG funded program
- Paying consultants or vendors who participate directly in writing a grant application
- Paying any portion of the salary or any other compensation for an elected government official
- Payment of bad debt, fines or penalties
- Medical costs, such as SANE fees or salaries, or items paid for by the CVC Program
- Purchasing any other products or services the OAG identifies as inappropriate or unallowable
- Any unallowable costs set forth in state or federal cost principles

Ineligible Activities

Ineligible activities include, but are not limited to:

- Activities solely for research purposes
- Activities solely for the prosecution of an offender, such as witness coordination, expert witness fees, or prosecutor salaries
- Activities solely for law enforcement purposes, such as investigators or patrol officers
- Probation activities that assist an offender
- Offender-related activities, such as mediation or alcohol/drug abuse counseling
- Activities solely to prevent crime

Funding Levels

For each fiscal year of the two-year grant term, the following are the minimum and maximum amounts the OAG will reimburse toward each project funded. Applications requesting an amount below the minimum or above the maximum may not be considered. If the application is awarded, the budget may be adjusted by the OAG to fit within the minimum and maximum amounts.

Type of Project	Minimum Amount		Maximum Amount	
	Fiscal Year 2012	Fiscal Year 2013	Fiscal Year 2012	Fiscal Year 2013
<i>OVAG Project</i>	\$20,000	\$20,000	\$42,000	\$42,000
<i>OVAG Statewide Project</i>	\$20,000	\$20,000	\$200,000	\$200,000
<i>VCLG Project</i>	\$20,000	\$20,000	\$42,000	\$42,000

Match Requirements

There are no match requirements for the OVAG or VCLG projects.

Volunteer Requirements

As resources for victim service programs become more limited, volunteers may be necessary to ensure that victims continue to receive quality services when they are needed most.

- The OVAG Program has a volunteer requirement for non-profit Applicants. Governmental Applicants are encouraged, but not required, to use volunteers in some capacity to support the mission of the organization.
- The VCLG Program does **not** have a volunteer requirement.

Assistance with Restitution Requirements

Prosecutor-based victim assistance programs applying for VCLG or OVAG funds will be required to provide the following services in FY 2012- 2013:

- Assistance in making restitution requests; and
- Collaboration with the OAG pursuant to the Texas Code of Criminal Procedure, Article 42.037, to ensure restitution is ordered in the appropriate amount to be paid back to Fund 469 after compensation has been paid to the victim.

Prosecutors will be required to report on assistance in making restitution requests in the OAG performance report.

Among other provisions, Article 42 of the Texas Code of Criminal Procedure contains three provisions related to restitution and the Texas Compensation to Victims of Crime Fund (Fund 469):

- (1) Allowing courts to order restitution to be credited to Fund 469 (Article 42.037(a)).
- (2) Allowing courts to assess a \$12 administrative fee where restitution is ordered and paid in installments. Of that amount, \$6 remains with the court and \$6 is paid to Fund 469 (Article 42.037(g)(1)).
- (3) Allowing courts to order a one-time payment to Fund 469 in an amount up to \$50 for misdemeanor offenses or up to \$100 for felony offenses (Article 42.12 Section 11(a)(18)).

State and Federal Requirements

All Applicants should review and be familiar with the OAG administrative rules governing the OVAG and VCLG Programs. These rules are published in 1 Texas Administrative Code, Part 3, Chapter 60, found at:

[http://info.sos.state.tx.us/pls/pub/readtac\\$ext.ViewTAC?tac_view=4&ti=1&pt=3&ch=60](http://info.sos.state.tx.us/pls/pub/readtac$ext.ViewTAC?tac_view=4&ti=1&pt=3&ch=60)

In addition to the OAG's administrative rules, Applicants should be familiar with the Uniform Grant Management Standards (UGMS) and relevant Office of Management and Budget (OMB) circulars that relate to state, and if applicable, federal grant funding.

- UGMS can be found at: <http://www.governor.state.tx.us/grants/what>
- OMB Circulars can be found at: <http://www.whitehouse.gov/omb/circulars/>

Contact Information

Assistance with technical questions about the Application Kit is available via:

- E-mail: cvsgrantsapplications@oag.state.tx.us
- Phone: Jennifer McShane Ferguson (512) 936-1278

E-mail is the preferred method for submitting questions. Each person submitting a question should include his/her name, the name of the organization, an e-mail address, a phone number and if applicable, the Unique Application Number. OAG staff cannot assist with writing Applications.

OVAG Program Requirements

The purpose of the OVAG Program is to provide funds, using a competitive allocation method, to programs that address the unmet needs of victims by maintaining or increasing their access to quality services.

OVAG Purpose Areas: Grant contracts awarded under this Application Kit may be used for victim-related services or assistance for the following purposes:

- Providing direct victim services including, but not limited to, counseling, crisis intervention, assistance with Crime Victims' Compensation, legal assistance, victim advocacy, and information and referral;
- Providing outreach or community education to help identify crime victims who might not otherwise be reached and provide or refer them to needed services;
- Connecting crime victims to services for the purpose of supporting or assisting in their recovery;
- Training professionals and volunteers to improve their ability to inform victims of their rights, to assist victims in their recovery, or to establish a continuum of care for victims; or
- Other support for victim-related services or assistance as determined by the OAG.

OVAG Staffing Requirements: The funding priority for the OVAG Program is to support positions that provide victim-related services or assistance and in particular to provide direct victim services.

- A minimum of 75% of an Applicant's budget must be allocated to the Personnel and Fringe Benefits budget categories. This requirement applies to all Applicants. The OAG may grant an exception to this requirement for projects that demonstrate a need as described in Tab C, Budget Calculation.
- An Applicant that requests 85% or more of the total grant amount in the Personnel and Fringe budget categories will be given additional consideration in scoring.
- An Applicant is limited to no more than six positions.
- One employee per position on the grant is allowed. Multiple employees per position are unallowable.
- Job Descriptions are required and must be submitted for all positions requesting funding.

OVAG Direct Victim Services Staff: Unless otherwise indicated in this Application Kit, all Applicants must provide one grant funded person working at least twenty hours per week or two grant funded persons working at least ten hours each per week providing direct victim services in the applicant's budget. Direct Victim Services are defined in the Definitions section of this Application Kit.

This requirement applies to all Applicants, including those that rely upon volunteers or contracted staff to deliver direct victim services. The OAG may grant an exception to this requirement for projects that demonstrate a need as described in Tab C, Budget Calculation.

At least one grant-funded person providing direct victim services will be required to complete an OAG training within 4 months of the grant execution date. If a grant-funded person providing direct victim services is no longer employed, then another grant-funded person providing direct victim services must complete the OAG training. The training requirement may be completed either by attending an OAG training in person, or via an online course offered by the OAG. This requirement will be in effect for the entire two year grant cycle.

OVAG Administrative Staff: While the OAG prefers to fund positions providing direct victim services, it recognizes that administrative personnel may be needed to support victim-related services or assistance.

- The Applicant may not include more than three positions, providing administrative support.
- The OAG will consider any position that has hours listed on the budget in the Administrative Column on Tab C-Budget Calculation as one of the three allowed administrative positions.
- The combined total number of hours for all administrative positions cannot exceed fifteen hours per week.

OVAG Non-Profit Volunteer Requirement: All non-profit (non-governmental) OVAG Applicants are required to use volunteers in some way to support the mission of their organization. Applicants must identify the role of a volunteer within the organization and describe program components related to recruitment, retention and training of volunteers. If the organization does not currently utilize volunteers, a plan must be provided explaining how a volunteer program will be developed and implemented during the grant term.

OVAG Statewide Project: A statewide project is one that actively offers or provides victim-related services or assistance in six or more Council of Government (COG) regions. In addition to the purpose areas stated above, only Statewide projects may apply for funding for public awareness campaigns designed for connecting crime victims to services for the purpose of supporting or assisting in their recovery.

VCLG Program Requirements

The purpose of the VCLG program is to fund the mandated positions described in the Texas Code of Criminal Procedure, Article 56.04, specifically Victim Assistance Coordinators (VAC) in prosecutor offices and Crime Victim Liaisons (CVL) in law enforcement agencies.

In addition to the duties imposed in the Texas Code of Criminal Procedure, Article 56.04 (and more specifically described in Article 56.02), VACs and CVLs are also expected to promote and educate the community and other professionals about victim rights and services in an effort to identify crime victims and provide or refer them to needed services.

At least one grant-funded person providing direct victim services will be required to complete an OAG on-line training within 4 months of the grant execution date. If a grant-funded person providing direct victim services is no longer employed, then another grant-funded person providing direct victim services must complete the OAG training. This requirement will be in effect for the entire two year grant cycle.

VCLG Staffing Requirements: An Applicant for the VCLG Program must request funding for a position that will perform the duties of a VAC or a CVL.

- A minimum of 75% of an Applicant's budget must be allocated to the Personnel and Fringe Budget categories.
- An Applicant that requests 85% or more of the total grant amount in the Personnel and Fringe Benefits budget categories will be given additional consideration in scoring.
- The Applicant must, at minimum, provide one VAC or CVL position working twenty hours per week or two positions working at least ten hours each per week in the applicant's budget.
- An Applicant is limited to no more than six positions.
- One employee per position on the grant is allowed. Multiple employees per position are unallowable.
- Job Descriptions are required and must be submitted for all positions requesting funding.

Review Process

The OAG will review each timely, completed Application filed by an eligible Applicant.

- At any time during the review process an OAG staff member may contact the Applicant for additional information.
- All areas of the budget are subject to review and approval by the OAG. Decisions related to the budget are based on both eligibility and reasonableness.

Scoring

The Application will be scored on information provided by the Applicant including, but not limited to:

- Organization Summary (20% of overall score)
- Project Summary and Description of Need (19% of overall score)
- Assessment and Evaluation (6% of overall score)
- What Will Be Done (30% of overall score)
- Financial Questions (20% of overall score)
- Comprehensive Scoring Criteria (5% of overall score)

Grant Decisions

All grant decisions including, but not limited to, eligibility, evaluation and review, and funding rest completely within the discretionary authority of the OAG. The decisions made by the OAG are final and are not subject to appeal.

The OAG may choose to award a grant contract from a different OAG funding source than that for which the Applicant applied.

The OAG is not obligated to award a grant at the total amount requested and/or within the budget categories requested. The OAG reserves the right to make awards at amounts above and/or below the stated funding levels.

Funding Priority

The OAG reserves the right to consider all other appropriations or funding an Applicant currently receives when making funding decisions. The OAG may give priority to Applicants

that do not receive other sources of funding, including funding that originates from the Texas Compensation to Victims of Crime Fund (Fund 469).

The OAG reserves the right to give priority to projects that provide direct victim services with grant funds, that provide information and education about victim rights in their community, or projects that utilize volunteers in providing services.

Grant Award Notification

The Applicant shall be notified in writing of the OAG's decision regarding a grant award.

The OAG may utilize a grant contract document and/or a notice of grant document once a decision is made to award a grant. The Applicant will be given a deadline to act to accept the grant award and to return the appropriate document to the OAG within the time prescribed by the OAG. An Applicant's failure to return the signed document to the OAG within the prescribed time period will be construed as a rejection of the grant award, and the OAG may de-obligate funds.

Special Conditions

The OAG may assign special conditions at the time of the award. Until satisfied, these special conditions may affect the Applicant's ability to receive funds. If special conditions are not resolved, the OAG may de-obligate funds up to the entire amount of the grant award.

Reporting Requirements

If an Application is funded, grantees will be required to report to the OAG in the manner and schedule as determined by the OAG. If Reports are not submitted by the set dates this may affect the Applicant's ability to receive funds. Reporting on grant project activities such as outputs and outcomes via quarterly Performance Reports will be required.

Method of Payment

OAG grants are paid on a cost-reimbursement basis.

II. Definitions

OUTPUT DEFINITIONS

Unique Victims Served - a victim of crime counted only once in a given time for receiving one or more services by one or more grant funded staff.

Direct Victim Services – are defined as providing the following activities:

- Assistance with Crime Victims' Compensation
- Assistance with Texas SAVNS/VINE
- Information and Referral
- Accompaniment (Criminal Justice, Law Enforcement, Medical)
- Crisis Intervention
- Individual Counseling
- Groups (Support, Therapeutic)
- Peer Support Services
- Assistance with Restitution
- Assistance with Victim Impact Panels
- Assistance with Victim Impact Statements
- Emergency Funds
- Follow up with Victim
- Legal Assistance
- Lodging
- Transportation
- Victim Advocacy

Assistance with Crime Victims' Compensation – assistance provided to a victim explaining Crime Victims' Compensation (CVC) forms and processes and/or completing the appropriate forms. Providing general information on CVC should be counted under “Information and Referral.”

Assistance with Texas SAVNS/VINE - assistance provided to a victim explaining Texas SAVNS/VINE and/or registering or accessing information. Providing general information on Texas SAVNS/VINE should be counted under “Information and Referral.”

Information and Referral – all forms of contact with victims in which services and available support (provided by the Applicant or the community) are identified and/or offered. This includes general information provided to victims on the Crime Victims' Compensation (CVC) program, victims rights, Texas Statewide Automated Victim Notification Services (SAVNS) /VINE program, the Victim Impact Statement (VIS), and issues related to victimization. Information and Referral does not include Assistance with Crime Victims' Compensation, Texas SAVNS/VINE or Victim Impact Statements, these should be included in their specific categories.

Accompaniment

Criminal Justice Accompaniment – support provided to a victim while that victim is participating in the criminal justice system. The law enforcement and medical accompaniment are listed separately.

Law Enforcement Accompaniment – support provided to a victim while that victim is interacting with a law enforcement agency.

Medical Accompaniment – support provided to a victim while receiving services at a medical facility. A minimum of 45 minutes must be spent with the victim.

Counseling/Therapy

Crisis Intervention – assistance provided to a victim to reduce stress and provide immediate, short-term support to reduce the impact of the crime.

Individual Counseling – provided to a victim face-to-face by a licensed professional and uses one-on-one psychological and/or therapeutic methods of treatment for a minimum of 45 minutes.

Support Groups – groups for victims led by trained staff, volunteers or peer facilitators covering educational material or issues brought up by the group.

Therapeutic Groups – groups facilitated by a licensed professional and includes therapeutic/counseling and/or psycho-educational content for victims.

Peer Support Services – one-on-one peer support provided by trained staff and/or volunteers to increase client functionality and facilitate empowerment in meeting his/her physical, medical, legal, and or psychological needs.

Assistance with Restitution – at a minimum, those duties required under Texas Code of Criminal Procedure, Articles 56.02, 56.04, and 56.08, which include notice of right to restitution and a written notification of the general restitution process within 10 days after the date that an indictment or information is returned against a defendant. Assistance with Restitution may also include assisting victims with calculating losses; gathering documentation/receipts; reviewing victim impact statements for potential restitution requests; contacting CVC to determine if funds have been expended on victim's behalf; and providing restitution information and CVC reimbursement requests for the prosecution.

Assistance with Victim Impact Panels – assistance provided to a victim to prepare a victim to present on a Victim Impact Panel.

Assistance with Victim Impact Statements – assistance provided to a victim explaining the Victim Impact Statement and/or completing the appropriate forms. Providing general information on Victim Impact Statements should be counted under "Information and Referral."

Emergency Funds – funds that the Applicant will provide directly to victims for items needed immediately following a crime and that would not otherwise be paid for by the Crime Victims' Compensation Program.

Fiscal Year – Texas state fiscal year, beginning on September 1st and ending on August 31st.

Follow-up with Victim – in person, telephone or written communication, initiated by the advocate that occurs as a follow-up to an initial meeting with the victim—to provide or offer services such as emotional support, empathetic listening and checking on progress.

Legal Assistance – assistance provided to a victim with criminal or civil legal issues, including, but not limited to, completing and/or filing of temporary restraining orders, injunctions, other protective orders, elder abuse or child abuse petitions. The available scope of legal services could be for the following service areas: legal services to assist victims of human trafficking, legal services to assist victims of crime to obtain temporary or permanent protective orders, spousal/child support, divorce and relocation, legal services to assist victims of crime with immigration proceedings, and legal services relating to victims of crime obtaining Crime Victims' Compensation benefits. Any other scope of legal services must have the prior written approval of the OAG. Legal assistance does not include activities solely for the prosecution of an offender, such as witness coordination, expert witness fees, or prosecutor salaries.

Lodging – arranging and/or providing lodging for a victim.

Transportation – arranging and/or providing transportation for a victim for planned activities to one or more destinations in a single trip, or to an unplanned or crisis situation to or from locations such as medical facilities, shelters, or police stations.

Victim Advocacy – assistance and advocacy provided on behalf of victims to a third party. Victim Advocacy includes phone calls or in-person visits to a law enforcement, criminal justice or other type of agency to gain information on behalf of the victim, assist the victim in securing his or her rights, remedies and services from other agencies, intervention with employers, following-up on CVC claims filed, assisting with other agencies that may provide non-criminal justice related services for victims such as job training. Victim Advocacy does not include any legal filings – see “Legal Assistance.”

Victim Services Training – training provided to professionals and volunteers to improve their ability to inform victims of their rights, to assist victims in their recovery, or to establish a continuum of care for victims.

Outreach or Community Education – a presentation that educates and informs the general public or specific groups on a particular topic. The outreach or community education presentation is formal, and length and content of presentation is the same for each participant at the presentation. Participants are from the community and do not represent a specific type of profession. For example, an outreach presentation may be given at a neighborhood association's "Night Out Against Crime" block party. The residents of the neighborhood would gather at the neighborhood park bleachers at 7:00 p.m. to hear a 15- minute presentation about services available at the grantee's organization before the block party starts.

Informational Fairs - a presentation that provides information to the general public or attendees on different topics. At informational fairs, the presentation is informal, usually on different topics and for different lengths of time, depending upon the participant's interest. Participants are from the community and do not represent a specific type of profession. For example, a grantee may set up a booth beside an entrance to a busy shopping area, customers of the shopping area may stop by the booth to pick up brochures on different services available at the grantee's organization and may talk for a few minutes to the presenter.

Structured Education – a curriculum delivered by trained staff or volunteers to provide age and culturally appropriate presentations to groups of students in grades kindergarten through twelve and post-secondary/college. These presentations are delivered in the context of a system that serves this student population. These systems include, but are not limited to: 1) school systems; 2) social and service organizations; and 3) religious organizations. Curriculum-based presentations made to adults working with the indicated population may also be counted as structured education.

Public Awareness Campaign – a coordinated series of public service announcements, articles, press events and/or other activities designed to connect crime victims to services for the purpose of supporting or assisting in their recovery.

GENERAL DEFINITIONS

Advertising Costs - the cost of advertising media and corollary administrative costs. Advertising media include magazines, newspapers, radio and television, direct mail, exhibits, electronic or computer transmittals and the like. Most advertising is unallowable for grant purposes and are only allowable if related to and necessary for performance of the grant, i.e. recruitment of personnel, procurement of goods and services, disposal of surplus materials, or other specific purposes necessary to meet the requirements of the grant project.

Cooperative Working Agreement – see memorandum of understanding.

Contract Staff - individuals that are not employees of the organization but are contractors of the organization to carry out specific work for the grant.

Crime Victim Liaison - each local law enforcement agency shall designate one person to serve as the agency's crime victim liaison. Each agency shall consult with the victim assistance coordinator in the office of the attorney representing the state to determine the most effective manner in which the crime victim liaison can perform the duties imposed on the crime victim liaison under this article. The duty of the crime victim liaison is to ensure that a victim, guardian of a victim, or close relative of a deceased victim is afforded the rights granted victims, guardians, or close relatives of deceased victims by Subdivisions (4), (6), and (9) of Article 56.02(a) of the Texas Code of Criminal Procedure.

Equipment – an article of non expendable, tangible personal property having a useful life of more than one (1) year and a per unit acquisition cost which equals the lesser of the capitalization level established by the grantee for financial statement purposes or \$5,000.

Employee – a person under the direction and supervision of the organization, who is on the payroll of the organization and for whom the organization is required to pay applicable income withholding taxes.

Fringe Benefits – fringe benefits compensation or other benefits provided by the employer to the employee at no charge that is above and beyond salary or wages. Examples include health plans, life insurance, and employer’s portion of payroll tax.

Memorandum of Understanding (MOU) – a written agreement between two or more parties that sets forth any common understandings, respective roles or interactions between the parties or any supporting duties or responsibilities between the parties. It must be signed by all parties involved and describe in sufficient detail the subject matter of the agreement.

Mileage – per mile cost when traveling by car.

Other Direct Operating Expenses - costs not included in other budget categories and which are directly related to the day-to-day operation of the grant program. Other direct operating expenses include, but are not limited to, conference registration, rent, utilities, janitorial supplies, liability insurance, and communication.

Outcome - actual impacts, benefits or changes related to outputs which can be measured during or after completion of a given time period. Outcomes answer the "how well" question. Example: 75% of the number of unique victims served (output) this year showed an "Increase in knowledge and understanding of crime victims rights" (outcome).

Outcome Target – estimated target, benchmark, or goal for the change in behavior, knowledge or understanding. It is the target for a measured change in behavior, knowledge, or understanding. Example: 75% is the target, benchmark or goal for participants reporting the desired outcome over a given period of time.

Output - quantity or amount of items or work produced. It is tangible, countable, number of products or activities that are performed, produced or conducted in a given time. Outputs answer the "how many" question. Example: 25 is the number of unique victims served (output) last month.

Output Target - estimated target, benchmark or goal for the quantity or amount of items or work produced. It is the target for a tangible, countable, number of products or activities that are performed, produced or conducted in a given time. Example: 30 (projected target) is the target, benchmark or goal number of unique victims served (output) over a given period of time.

Per Diem – a meal expense incurred on an overnight stay in which the grantee travels outside of his or her designated headquarters.

Personnel – employees of this organization that will be funded by this grant. See “Employee”.

Professional and Consultant Services - service for which the grantee uses an outside source for necessary support. Professional and Consultant Services include, but are not limited to tax services, accounting services, counseling, legal services, and computer support.

Promotional Items – articles of merchandise that are branded with a logo and used in marketing and communication programs. They are usually given away to promote a company, corporate image, brand, or event. Most promotional items are unallowable expenditures for grant purposes and are only allowable if the item is informational and/or instructional in nature and thus provides a public service.

Resolution of Governing Body – a formal written statement of an official body that is the governing authority of an agency.

Salary – the total compensation earned by the employee without regard to funding source.

Special Conditions - placed on a grant because of a need for information, clarification, or submission of an outstanding requirement of the grant that may result in a financial hold being placed on the OAG grant program. Special conditions may be placed on a grant at anytime with or without notice.

Supplies – consumable items directly related to the day to day operations of the grant program. Allowable items include, but are not limited to, office supplies, paper, postage, and education resource materials.

Unique Application Number (UAN) - this number will be assigned by the OAG after an applicant has completed the required on-line Application Registration for this grant opportunity. The UAN will be used by the OAG to track the receipt of applications from registered Applicants. The assigned UAN must be included on all application documents submitted to the OAG.

Victim Assistance Coordinator - the district attorney, criminal district attorney, or county attorney who prosecutes criminal cases shall designate a person to serve as victim assistance coordinator in that jurisdiction. The duty of the victim assistance coordinator is to ensure that a victim, guardian of a victim, or close relative of a deceased victim is afforded the rights granted victims, guardians, and relatives by Article 56.02 of the Texas Code of Criminal Procedure. The victim assistance coordinator shall work closely with appropriate law enforcement agencies, prosecuting attorneys, the Board of Pardons and Paroles, and the judiciary in carrying out that duty. The victim assistance coordinator shall send a copy of a victim impact statement to the court sentencing the defendant. If the court sentences the defendant to imprisonment in the Texas Department of Corrections, it shall attach the copy of the victim impact statement to the commitment papers

Volunteer – a person who contributes a service without pay.

III. Specific Instructions for Tabs in Excel Workbook

For all Tabs, answer the questions only in the space provided. Only answers that appear in the specific text box will be read or scored. Do not write answers beyond the space provided or attach additional pages; they will not be read or scored.

If a message appears when the Excel workbook is opened stating that “Macros in this workbook are disabled...” the Applicant should select “OK”.

Each time a new tab is selected, make sure to scroll up to the top as well as across the Tab to ensure all cells are viewed.

In order to cut and paste text into a cell, it may be necessary to double click the cursor into the cell, rather than simply selecting the cell.

Not all questions on this Application have instructions listed below, these are to clarify and provide specific information where necessary. Be sure to answer every question in the Excel document.

TAB A - ORGANIZATION

1. Organization

Each Applicant was assigned a Unique Application Number (UAN) when on-line registration was completed for each grant program for which their organization applied. Enter the UAN in this cell.

Provide a contact name and phone number in the event the OAG has any questions about the Application.

The Amount Requested for FY 2012-2013 as well as the % of Personnel and Fringe Requested will auto-calculate.

2.- 8.1 Narrative Questions

All responses must fit in the text boxes provided when the Application is printed. Each text box holds 1,000 characters, including spaces. Any information that does not appear in the text box will not be reviewed or scored. It is highly recommended that you write your responses on a separate document, then cut and paste it into the Excel document. If you are uncertain whether a response fits in the space provided, use the “print preview” function of your computer, or print out the responsive page to confirm.

TAB B - OUTPUT TARGET CALCULATION

This Tab records staff position targets for all personnel requested to be funded by this OAG grant. One staff position equals one person. Do not include more than one person under each staff position/title. The information entered onto this Tab will be totaled on Tab D to indicate the Applicant’s targets for FY 2012 and FY 2013. The positions will also appear on Tab C to enter

budget information. The Applicant will report on cumulative targets in quarterly Performance Reports to the OAG, not targets by individual personnel.

9.1 Staff Position/Title

Enter positions on this Tab in order of funding priority. Each Applicant is limited to no more than six positions. Staff position/Title #1 is the highest priority and Staff position/Title #6 is the lowest priority staff position. While not all Applicants have counselors and/or provide counseling services, if counseling positions are some of the six staff positions/titles that will be listed for this grant program, enter the counselors first, starting with staff position/title #1, showing greater priority for these positions.

9.2 Administrative Position

If the position is one that only provides administrative support, check the box next to the position/title and no targets are required.

9.3 Contract Position

If the position is for a contract position and the outputs on Tab B – Output Target Calculation are applicable, check the box next to the position/title. If you check the box, the position title will auto-fill on Tab C – Budget Calculation. On Tab C – Budget Calculation, do not complete the hours per week, salary or fringe for this position. Instead, scroll down Tab C – Budget Calculation to the Professional and Consultant Services section and put the financial information for contract positions under Professional and Consultant Services.

9.4 Projected Targets

The Applicant must complete one table per position being requested through this grant.

For all non-administrative positions, for non-prosecutor based applicants, the following projected targets are required:

- Number of Unique Victims Served
- Assistance with Crime Victims' Compensation
- Assistance with Texas SAVNS/VINE
- Information & Referral

For all non-administrative positions, for prosecutor based applicants, the following projected targets are required:

- Number of Unique Victims Served
- Assistance with Crime Victims' Compensation
- Assistance with Texas SAVNS/VINE
- Information & Referral
- Assistance with Restitution.

If the position is one that only provides Victim Services Training, Outreach and Education, Structured Education and/or Public Awareness, the Applicant may enter “0” as the projected target for the required outputs. Do not leave the required output projected target cells empty.

The Applicant may also select up to three additional output targets to report to the OAG.

The output target number should represent the number of **unique victims** that will receive a particular service, not the number of times a particular service will be provided. Here are some important points to consider when projecting targets:

- 1) For reporting purposes you will report the number of **unique victims** served each month and the particular types of services they receive each month, not the number of times a particular service is provided.
- 2) Victims Served and Types of Services Provided are reported on a monthly basis. A **unique victim** may receive a particular type of service more than one time throughout the grant year.

Example: During the month of September a unique victim receives Information and Referral five times, Crisis Intervention two times and Victim Advocacy one time, all three types of service would be reported, but only once under each type of service. If that victim were to receive the exact same services during the following month of October, services would be reported the exact same way.

Note that in addition to the selected targets listed on Tab B, the Applicant will be required to track and report to the OAG information about all services provided through this grant.

TAB C - BUDGET CALCULATION

10. PERSONNEL AND FRINGE BENEFITS

Each Applicant is limited to no more than six positions. One employee per position on the grant is allowed. Multiple employees per position are unallowable. Any hours over (40) forty hours is unallowable by this grant.

- Each position will auto-fill from the information entered on Tab B. For each position the Applicant should list the number of hours scheduled to work per week. Next, fill in the number of hours each week that will be spent on Direct Services, Administration, or any other hours charged to this grant. The number of hours scheduled to work on this grant auto-fills. See the Definitions section of this Application Kit for a definition of Direct Victim Services.
- Counseling positions should be given priority and listed first. Refer to Tab B, to change the order of preference. Staff Position/Title #1 is highest priority and Staff Position/Title # 6 is lowest priority.
- For each position, also provide the following information:
 - Annual salary
 - Total salary requested for this position on this grant
 - Annual fringe benefits amount for the position
 - Amount of fringe benefits requested from this grant
 - If the “Fringe Funding %” column appears in red, the fringe requested must be adjusted to be less than or equal to the percentage of salary being requested for this position.
 - Add to the Justification section for each position the method used to calculate fringe benefits.

- The personnel budget category must include salaries of employees only and not compensation for independent contractors. “Employee” is defined as a person under the direction and supervision of the grantee, who is on the payroll of the grantee and for whom the grantee is required to pay applicable withholding taxes; or a person who will be on the grantee’s payroll and for whom the grantee will pay applicable income withholding taxes once the grant is awarded.
- Salaries for grant funded positions must be reasonable and comply with the grantee’s salary classification schedule. The grantee must maintain documentation supporting that the salary is commensurate with that paid in the geographical area for positions with similar duties and qualifications.
- Each position listed must have a job description. Job descriptions must be submitted with the Application.
- Grant funds may be used to pay fringe benefits of an employee only if grant funds are also being used to pay for the salary of the same employee.
- An organization must provide grant funded personnel the same fringe benefits provided to all other non grant funded personnel, and it should be offered/covered in the organization’s written policies regardless of whether the costs for fringe benefits are paid through that OAG grant.
- Fringe benefits must comply with the organization’s personnel policies regarding fringe benefits.
- Grantees agree to comply with the Uniform Grant Management Standards (UGMS), Texas Administrative Code (TAC), Office of Management and Budget (OMB) Circulars A-87-Cost Principles for States, Local Governments, and Tribes, and A-122-Cost Principles for non-profit organizations.

10.1 FY 2012 Position Narrative

Provide a justification for each position in Section 10.1 Position Narrative. This should include how each position supports the goal of the grant.

10.2 FY 2013 Position Narrative

Provide a justification for each position in Section 10.2 Position Narrative. This should include how each position supports the goal of the grant.

10.3 Exceptions to Personnel Requirements

- If a grantee is unable to fulfill the personnel requirements of this grant, the applicant must request an exception and provide a justification. A justification must be requested in this section if the Applicant is requesting an exception to both of the following personnel requirements: (a) A minimum of 75% of an Applicant’s budget must be allocated to the Personnel and Fringe Benefits budget categories and (b) all Applicants must provide one (1) direct service staff person working at least twenty (20) hours per week or two (2) direct service staff persons working at least ten (10) hours each per week.

11. Professional and Consultant Services

- “Professional and Consultant Services” is defined as service for which the grantee uses an outside source for necessary support. Professional and Consultant Services include, but are not limited to tax services, accounting services, counseling, legal services, and computer support.

- This category is appropriate when contracting with an individual or organization to provide professional services (e.g., training, expert consultant, etc.) for a fee but not as an employee of the grantee organization.
- Any contract or agreement entered into by a grantee that obligates grant funds must be in writing and consistent with Texas contract law.
- Grantees must maintain adequate documentation supporting budget items for a contractor's time, services, travel expenses and rates of compensation. Grantees must establish a contract administration and monitoring system to regularly and consistently ensure that contract deliverables are provided as specified in the contract.
- Grant funds may not be used to pay for any professional and consultant service for a person or vendor who participated directly in writing a grant application.

11.1 FY 2012 Professional and Consultant Services Narrative

Provide a justification for each item. This should include how it supports the goal of the grant.

11.2 FY 2013 Professional and Consultant Services Narrative

Provide a justification for each item. This should include how it supports the goal of the grant.

12. Travel

- Grant funds requested in the travel category should be for grant related travel performed by grant funded staff and volunteers assigned to the grant only.
- All Applicants are required to include in their budget funds to attend an OAG sponsored training.
- Travel must relate directly to the delivery of services that supports the program funded by the OAG grant.
- Enter the % of the total cost you want to allocate to this grant project in the % column of the Travel Chart.
- Travel expenses must be reasonable and necessary. Lodging, mileage, car rental, and airfare may be reimbursed according to the Texas State Travel Guidelines, unless a grantee's travel policy provides for a lesser reimbursement. The reimbursement rate for these expenses can be found in the Texas State Travel Guidelines at: <https://fmx.cpa.state.tx.us/fmx/travel/texttravel/index.php>
- For this grant, per diem is limited to \$36 per day for overnight travel unless a grantee's travel policy provides for a lesser reimbursement. Per diem is unallowable for non-overnight travel for this grant.
- Hotel tax should be included under the Misc./Hotel Tax line.
- If mileage is to be paid, provide the number of miles and the cost per mile, not to exceed the Texas State Travel Guidelines, in the justification under 12.1 FY 2012 Travel Narrative and/or 12.2 FY 2013 Travel Narrative.
- Grant funds may not be used to pay for out-of-state travel.
- Do not include conference registration fees in the travel budget category. Conference registration fees should be listed in the "Other Direct Operating Expenses" budget category.

12.1 FY 2012 Travel Narrative

Provide a justification describing the travel grant funded persons will perform. This should include the location to be traveled to, the number of trips planned, the title of the grant funded persons who will be making the trips, and how the travel supports the goal of the grant.

12.2 FY 2013 Travel Narrative

Provide a justification describing the travel staff members will perform. This should include the location to be traveled to, the number of trips planned, the title of the grant funded persons who will be making the trips, and how the travel supports the goal of the grant.

13. Equipment

- “Equipment” is defined as an article of non expendable, tangible personal property having a useful life of more than one (1) year and a per unit acquisition cost which equals the lesser of the capitalization level established by the grantee for financial statement purposes or \$5,000.
- A grantee may use equipment paid for with OAG funds only for grant-related purposes.
- Computers, regardless of cost, should be included under equipment.
- Each Applicant is required to have access to a computer in order to perform data collection and reporting to the OAG. If the Applicant does not currently have a computer, then one may be budgeted as part of this grant or secured through other means.
- Do not include maintenance or rental fees for equipment in the equipment budget category. Equipment maintenance or rental fees should be listed in the “Other Direct Operating Expenses” budget category.
- Enter the % of the total cost you want to allocate to this grant project in the % column of the Equipment Chart.

13.1 FY 2012 Equipment Narrative

Provide a justification for each item. This should include the title of the grant funded persons who will be using each piece of equipment and how the use supports the goal of the grant.

13.2 FY 2013 Equipment Narrative

Provide a justification for each item. This should include the title of the grant funded persons who will be using each piece of equipment and how the use supports the goal of the grant.

14. Supplies

- “Supplies” is defined as consumable items directly related to the day to day operation of the grant program. Allowable items include, but are not limited to, office supplies, paper, postage, and education resource materials.
- The OAG will not approve funds for the purchase of program promotional items or recreational activities.
- Costs for supplies should be allocated for the grant funded persons listed on this Application.
- Enter the % of the total cost you want to allocate to this grant project in the % column of the Equipment Chart.

14.1 FY 2012 Supplies Narrative

Provide a justification for each item. This should include how it supports the goal of the grant.

14.2 FY 2013 Supplies Narrative

Provide a justification for each item. This should include how it supports the goal of the grant.

15. Other Direct Operating Expenses

- "Other Direct Operating Expenses" is defined as those costs not included in other budget categories and which are directly related to the day to day operation of the grant program. Examples: Utilities, rent, insurance, security fees, or maintenance fees.
- Registration fees for conferences and other training sessions should be included in this category.
- Funds may not be used to purchase food and beverages.
- Enter the % of the total cost you want to allocate to this grant project in the % column of the Other Direct Operating Expenses Chart.

ODOE and Cost Allocation

Grantees often times share program expenses with more than one funding source. Below are four allowable allocation methods and examples to determine the allocation of expenses to the appropriate funding source.

- Other Direct Operating Expenses that benefit more than one grant should be allocated proportionately to the benefit or service received. The method used to allocate cost should be a reasonable and measurable means of distributing the cost to those grants, and consistently applied for that type of cost.
- It is acceptable to use different allocation methods for different types of ODOE expense, as long as the allocation method is applied consistently for those expenses.
- Four common and acceptable allocation methods for ODOE expenses are: (1) funding, (2) full time equivalents (FTE), (3) square footage, and (4) direct use. Allowable methods related to specific costs are shown in the table below: This chart is an example of using different allocation methods for different specific costs.

ALLOWABLE ALLOCATION METHODS

Cost Type	Square			
	Funding	FTE	Footage	Direct Use
Copiers	x	x		x
Data Line	x	x		
Equip Maint.	x	x		
Janitorial	x	x	x	
Postage	x	x		x
Printing	x	x		x
Rent	x	x	x	
Telephones	x	x		
Utilities	x	x	x	

The following are descriptions and examples of the acceptable methods:

(1) Funding Source Based: The proportion of expense borne by each funding source is allocated based on the proportion of funding provided. For example:

Funding Source Based Example	
Total Operating Budget of Grantee A	\$ 475,000
OAG Grant Funds	\$ 75,000
	$=75,000 \text{ divided by } 475,000 = 0.16$
OAG Grant portion of the expense	= 16%
The OAG Grant should not be charged more than the allowable ODOE costs. In this example the allowable portion is 16% of the total cost.	

(2) Full-time equivalents (FTE) Based: FTE is the numerical representation of full and part-time work activities. A person working full time represents 1.00 FTE; a person working half-time represents .50 FTE.

Steps to calculate the annual number of FTE's:

1. Determine the total number of hours worked for all employees.
2. Divide that number by 2080 to arrive at the overall total number of FTE's.
3. To obtain the number of FTE's charged to the grant, determine the total number of hours to be directly charged to the grant and divide by 2080. (2080 is the number of hours per year for a full-time FTE at 40 hours per week).
4. To obtain percentage of the grant FTE's , divide the FTE total for the grant by the total of FTE's of the organization calculated in step 2.
5. This calculation will provide the percentage of ODOE cost that could be allocated to the grant.

FTE Based Example	
Annual FTE Calculation	20,800 total hours charged for all staff (based on time sheets or payroll records)
	$20,800 / 2080 = 10 \text{ FTE's overall}$
	5,600 staff hours charged to grant A (based on time sheets or payroll records)
	$5,600 / 2080 = 2.7 \text{ FTE's charged to that grant A}$
	$2.7 \text{ (FTE charged to grant A) } / 10 \text{ (FTE's overall)} = .27 \text{ (27\%)}$
	$27\% \times \text{ODOE expense} = \text{amount to be allocated to grant A}$

(3) Square Footage Based: This is calculated by measuring the square footage to get the allocation percentage for direct use and common area. Below is an example to determine rent.

Direct Use is defined as an area occupied by funded grant staff under this contract.

Common Area is defined as an area shared by all employees of the entity.

Total Sq. Footage = 1250; Rent = \$1200 per month; $\$1200 / 1250 = \$.96$ per sq ft

Suite 1 (Program A) $10 \times 13.8 = 138$ sq ft Suite 2 (Program B) $10 \times 13.8 = 138$ sq ft
Suite 3 (Program C) $10 \times 13.8 = 138$ sq ft Suite 4 (Program D) $10 \times 13.8 = 138$ sq ft Conf.
Rm (Common Area) $22 \times 15 = 330$ sq ft Rest Rm 1 (Common Area) $6 \times 8 = 48$ sq ft
Break Area (Common Area) $8 \times 8 = 64$ sq ft
Storage Rm (Common Area) $8 \times 8 = 64$ sq ft Hallway (Common Area) $48 \times 4 = 192$ sq ft

Program A (Direct Use)	$138 \text{ sq ft} \times .96 = \132.48
Program B (Direct Use)	$138 \text{ sq ft} \times .96 = \132.48
Program C (Direct Use)	$138 \text{ sq ft} \times .96 = \132.48
Program D (Direct Use)	$138 \text{ sq ft} \times .96 = \132.48
Common Area (Allocable ODOE Expense)	$698 \text{ sq ft} \times .96 = \670.08

Steps to Calculate Square Footage

1. Calculate the total square footage and divide by the monthly rent to get the cost per square foot.
2. Multiply the direct use square footage by the cost per square foot. This gives you the direct use cost.
3. Add the total square feet of all the direct use areas (Program A+ Program B + Program C+ Program D) = Program ABCD direct use ($138 + 138 + 138 + 138 = 552$)
4. Divide each direct use space by the total direct use to obtain % to distribute Common Area cost (Program A is $138 / 552 = 25\%$)
5. Multiply this percentage by the cost of the common area to obtain the additional cost for each program common areas. (Program A = $25\% \times \text{Common Area cost of } \$670.08 = \$167.52$)
6. Program A is allocated the \$132.48 based on direct use and \$167.52 for the proportionate common area. Program A allocation for Rent based on square footage is $\$132.48 + \$167.52 = \$300.00$. Repeat for Program B, Program C and Program D.

(4) Direct Use Based: This is used when the ODOE cost can be directly charged to a grant by using meter readings, copy counts, etc.

15.1 FY 2012 Other Direct Operating Expenses Narrative

Provide a justification for each item. This should include how it supports the goal of the grant.

15.2 FY 2013 Other Direct Operating Expenses Narrative

Provide a justification for each item. This should include how it supports the goal of the grant.

TAB D – PROJECT SUMMARY

16. Project Summary

The summary is in standardized language and may be used by the OAG to describe the project. Examples would be:

“This project funds four staff to serve victims of family violence by providing counseling and crisis intervention services in the city of Dallas.”

“This project funds one staff to serve all victims of violent crime by providing crisis intervention and information and referral services in El Paso County.”

“This project funds two staff to serve victims of child abuse and neglect by providing training to volunteers working with child abuse victims in all 150 counties across Texas.”

17. Target Population

This section requests information on the Applicant’s project for which funding is being requested, not by the organization as a whole. Under both “Specific Victimization” and “Specific Populations,” place an “X” in all categories that apply.

18-21.1, 21.3, 22.1, 23-26.2 Narrative Questions

All responses must fit in the text boxes provided when the Application is printed. Any information that does not appear in the text box will not be reviewed or scored. It is highly recommended that you write your responses on a separate document, then cut and paste it into the Excel document. If you are uncertain whether a response fits in the space provided, use the “print preview” function of your computer, or print out the responsive page to confirm.

21.2 Outputs Summary

This Tab is auto-calculated based on the Applicant’s responses on Tab C. The Applicant will not enter any information on this Tab.

22. Outcomes

All Applicants will be required to measure two outcomes for the contract period. If the Applicant provides any Direct Victim Services as defined by this Application Kit, then at least one outcome must be for Direct Services. To indicate which outcomes will be measured over the next two years, provide an output target % to the right of the outcome, under the Outcome Target % column.

27. Budget Summary

This Tab is auto-calculated based on the Applicant’s responses on Tabs C. The Applicant will not enter any information on this Tab.

IV. OAG Certifications and Assurances

**A. THE UNIFORM GRANT MANAGEMENT STANDARDS (“UGMS”), PART III,
SECTION _____.14; PROMULGATED BY THE OFFICE OF THE GOVERNOR, STATE OF TEXAS.**

**THE OAG HAS ADOPTED THOSE ASSURANCES AS APPLICABLE
TO ALL RECIPIENTS OF OVAG AND VCLG FUNDS.**

The Applicant agrees to:

(1) Comply with Texas Government Code, Chapter 573, Vernon’s 1994, by ensuring that no officer, employee, or member of the applicant’s governing body or of the applicant’s contractor shall vote or confirm the employment of any person related within the second degree of affinity or the third degree of consanguinity to any member of the governing body or to any other officer or employee authorized to employ or supervise such person. This prohibition shall not prohibit the employment of a person who shall have been continuously employed for a period of two (2) years, or such other period stipulated by local law, prior to the election or appointment of the officer, employee, or governing body member related to such person in the prohibited degree.

(2) Comply, as applicable, with Texas Government Code, Chapter 552, (“Texas Public Information Act”) which requires the public information that is collected, assembled or maintained by the applicant relative to a project to be available to the public during normal business hours.

(3) Comply with Texas Government Code, Chapter 551, (“Texas Open Meetings Act”) which requires all regular, special or called meeting of governmental bodies to be open to the public, except as otherwise provided by law or specifically permitted in the Texas Constitution.

(4) Comply with Section 231.006, Texas Family Code, which prohibits payments to a person who is in arrears on child support payments.

(5) If Applicant is a health and human services agency or public safety or law enforcement agency, it may not contract with or issue a license, certificate or permit to the owner, operator or administrator of a facility if the license, permit or certificate has been revoked by another health and human services agency or public safety or law enforcement agency.

(6) If Applicant is a law enforcement agency regulated by Texas Government Code, Chapter 415, it must be in compliance with all rules adopted by the Texas Commission on Law Enforcement Officer Standards and Education pursuant to Chapter 415, Texas Government Code or must provide the OAG with a certification from the Texas Commission on Law Enforcement Officer Standards and Education that the agency is in the process of achieving compliance with such rules.

(7) Agrees that when incorporated into a grant award or contract, these standard assurances become terms or conditions for receipt of grant funds and that the Applicant shall maintain an appropriate contract administration system to insure that all terms, conditions, and specifications are met.

(8) Comply with the Texas Family Code, Section 261.101 which requires reporting of all suspected cases of child abuse to local law enforcement authorities and to the Texas Department of Child Protective and Regulatory Services. Applicant shall also ensure that all program personnel are properly trained and aware of this requirement.

(9) Comply with all federal statutes relating to nondiscrimination. These include, but are not limited to, the following: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of handicaps and the Americans With Disabilities Act of 1990; (d) the Age Discrimination Act of 1974, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to the nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

(10) Comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. § § 276a to 276a-7), the Copeland Act (40 U.S.C. § § 276c and 18 U.S.C. § § 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. § § 327-333), regarding labor standards for federally assisted construction sub-agreements.

(11) Comply, as applicable, with the requirements of the provisions of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (P. L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

(12) Comply with the provisions of the Hatch Political Activity Act (5 U.S.C. § 7321-29) which limit the political activity of employees whose principal employment activities are funded in whole or in part with Federal funds.

(13) Comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act and the Intergovernmental Personnel Act of 1970, as applicable.

(14) Insure, as applicable, that the facilities under its ownership, lease or supervision which shall be utilized in the accomplishment of the project are not listed on the Environmental Protections Agency's (EPA) list of Violating Facilities and that it will notify the Federal grantor agency of the receipt of any communication from the Director of the EPA Office of Federal Activities

indicating that a facility to be used in the project is under consideration for listing by the EPA, (EO 11738).

(15) Comply, as applicable, with the flood insurance purchase requirements of 102(a) of the Flood Disaster Protection Act of 1973, Public Law 93-234. Section 102 (a) requires the purchase of flood insurance in communities where such insurance is available as a condition for the receipt of any Federal financial assistance for construction or acquisition proposed for use in any area that has been identified by the Secretary of the Department of Housing and Urban Development as an area having special flood hazards.

(16) Comply, applicable, with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).

(17) Comply, as applicable, with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

(18) Comply, as applicable, in assisting the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).

(19) Comply, as applicable, with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

(20) Comply, as applicable, with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residential structures.

(21) Comply, as applicable, with Public Law 103-277, also known as the Pro-Children Act of 1994 (Act), which prohibits smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

(22) Comply, as applicable, with all federal tax laws and are solely responsible for filing all required state and federal tax forms.

(23) Comply, as applicable, with all applicable requirements of all other federal and state laws, executive orders, regulations and policies governing this program.

(24) Certifies, as a signatory party to the grant contract, that it is not debarred or suspended or otherwise excluded from or ineligible for participation in federal assistance programs.

(25) Comply, by adopting and implementing the applicable provisions of the model HIV/AIDS work place guidelines of the Texas Department of Health as required by the Texas Health and Safety Code, Ann., Sec. 85.001, et seq.

B. Other Certifications and Assurances

EQUAL EMPLOYMENT OPPORTUNITY PROGRAM CERTIFICATION

The Applicant certifies that if it is required to file an Equal Employment Opportunity Plan (EEO), the Applicant will do so in compliance with the applicable federal requirements.

DISCLOSURE AND CERTIFICATION REGARDING LOBBYING

The Applicant certifies:

1. No federal/state appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress or the Texas Legislature, or an employee of a member of Congress or the Texas Legislature in connection with the awarding of any federal/state contract, the making of any federal/state grant, the making of any federal/state loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal/state contract, grant, loan, or cooperative agreement; and

2. If any non-federal/state funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress or the Texas Legislature, an officer or employee of Congress or the Texas Legislature, or an employee of a member of Congress or the Texas Legislature in connection with this federal/state contract, grant, loan, or cooperative agreement, the undersigned shall contact the CVSD of the OAG for the "Disclosure Form to Report Lobbying."

NON-PROCUREMENT DEBARMENT CERTIFICATION

The Applicant certifies that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- (d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default.

If Applicant is unable to certify to any of the statements in this Non-procurement Debarment certification, the Applicant shall attach an explanation. Funding is contingent upon OAG review of this explanation.

DRUG-FREE WORKPLACE CERTIFICATION

The Applicant certifies that it will provide a drug-free workplace by:

- A. Publishing a statement notifying employees/assignees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.
- B. Establishing a drug-free awareness program to inform employees/assignees about:
 - 1. The dangers of drug abuse in the workplace;
 - 2. The applicant's policy of maintaining a drug-free workplace;
 - 3. Any available drug counseling, rehabilitation, and employee assistance programs; and
 - 4. The penalties that may be imposed upon employees/assignees for drug abuse violations.
- C. Making it a requirement that each employee/assignee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (A).
- D. Notifying the employee/assignee in the statement required by paragraph (A) that, as a condition employment/assignment under the grant, the employees/assignee will:
 - 1. Abide by the terms of the statement, and
 - 2. Notify the applicant agency and CVSD, of the OAG of any criminal drug statute conviction for a violation occurring in the workplace not later than five days after such conviction.
- E. Notifying the agency within ten days after receiving notice under subparagraph (D) (2) from an employee/assignee or otherwise receiving actual notice of such conviction.
- F. Taking one of the following actions with respect to any employee/assignee so convicted:
 - 1. Taking appropriate personnel action with respect to any employee/assignee so convicted;
 - 2. Requiring such employee/assignee to participate satisfactorily in drug abuse assistance or rehabilitation program approved for such purposes by a federal, state, or local health, law enforcement, or other appropriate agency.
- G. Making a good faith effort to continue to maintain a drug-free workplace through the implementation of paragraphs (A), (B), (C), (D), (E), and (F).

ANNUAL SINGLE AUDIT CERTIFICATION

The Applicant certifies to the best of their knowledge and belief that one of the following applicable requirements will be met:

1. The applicant currently expends \$500,000 or more, in combined federal funds during the fiscal year; and, therefore, is required to submit an annual single audit by an independent auditor made in accordance with the Single Audit Act Amendments of 1996 and OMB Circular A-133.
2. The applicant currently expends \$500,000 or more in combined state funds during the fiscal year; and, therefore, is required to submit an annual Single Audit by an independent auditor made in accordance with the Uniform Grant Management Standards (UGMS).
3. The applicant currently expends less than \$500,000 in either federal or state funds during the fiscal year; and therefore is exempt from the Single Audit Act and cannot charge audit costs to an OAG grant. Applicant agrees that the OAG may require a limited scope audit as defined in OMB Circular A-133.

If this application is for funds in excess of \$25,000, the Applicant certifies the following: By submission of this proposal, that neither the applicant agency nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or state agency.

If the Applicant is unable to certify the above statements, the Applicant has attached an explanation to this application.

COMPLIANCE WITH ANNUAL INDEPENDENT FINANCIAL AUDIT FILING REQUIREMENT

The Applicant assures that it will file an Annual Independent Financial Audit of the complete program and/or organization and management letter of the audit findings within nine months of the end of the fiscal year of the agency. An annual independent financial audit is a requirement for this OAG grant. The audit will meet Office of Management and Budget (OMB) Circular A-133 and Uniform Grant Management Standards (UGMS) requirements. Additionally, the annual independent financial audit will meet GAGAS standards in the event a Single Audit is not required.

COMPLIANCE WITH UGMS AND THE APPLICABLE OMB CIRCULARS

The Applicant assures that it will follow the guidelines in the Uniform Grant Management Standards (UGMS). Both governmental entities as well as non-profit entities are required to follow UGMS guidelines.

The Applicant assures compliance with all Federal/state statutes, regulations, policies, guidelines and requirements, including, but not limited to, UGMS as well as OMB Circulars No. A-21, A-110, A-122, A-128, A-87; E.O. 123 72.

RETURN OF GRANT FUNDS IN THE EVENT OF LOSS OR MISUSE

The Applicant agrees that in the event of loss or misuse of the OAG funds, the Applicant assures that the funds will be returned to the OAG in full.

CONFLICT OF INTEREST

The Applicant assures that there is no conflict of interest that would preclude it from filing the Application or providing the services under this grant. By submitting this Application, Applicant affirms that it has neither given, nor intends to give, at any time hereafter, any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant or any employee or representative of same, at any time during the procurement process or in connection with this grant application, except as allowed under relevant state and federal law. The Applicant further agrees that it will establish safeguards to prohibit its employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest or personal gain. The Applicant shall operate with complete independence and objectivity without actual, potential, or apparent conflict of interest with respect to the activities conducted under this grant.

Without diminishing the provisions of the prior paragraph, the Applicant assures that as a grantee, grantee personnel, members of a grantee board or governing body, or other persons affiliated with the grant project shall not participate in any proceeding or action where grant funds personally benefit, directly or indirectly, the individuals or their relatives. For the purposes of this provision, "relatives" means persons related to the individual within the third degree by consanguinity or within the second degree by affinity, as determined by Chapter 573 of the Government Code. Grant personnel and officials must avoid any action that results in or creates the appearance of using their official positions for private gain; giving preferential treatment to any person; losing independent judgment or impartiality; making an official decision outside of official channels; or adversely affecting the confidence of the public in the integrity of the program or the OAG.

AUTHORITY TO FILE APPLICATION

The Applicant has the authority or will receive the appropriate authority by the Applicant's governing body to file the Application, including the authority to agree to the assurances and certifications contained herein.

V. Required Attachments

Attachments A and B can be found at the end of this Application Kit.

Attachment A is the “STATEMENTS SUPPORTING SUBMISSION OF THE APPLICATION TO OAG CRIME VICTIM SERVICES DIVISION”

- **It must be signed and submitted at the time the Application is submitted to the OAG.**
- **Applications that are received by the OAG without this Attachment will not be considered or funded by the OAG.**

Attachment B is the “RESOLUTION OF GOVERNING BODY”

- It must be signed and submitted at the time the Application is submitted to the OAG, unless the timing of the Application due date and requirements of the Open Meeting Act or other requirements prevents the governing body from reviewing and approving the Resolution, then it may be submitted to the OAG at a later date.

Job Descriptions are required for each position requested in the proposed budget.

- Job descriptions should be attached and submitted with the Application. Missing job descriptions may impact the Applicant’s score.
- The Applicant should mark each page of the submitted job descriptions with the name of the Applicant and the Unique Application Number, if applicable.

Collaboration Agreements if required by the Applicant to achieve the proposed project as described in Tab A.

- Collaboration agreements, if required, should be attached and submitted with the Application. Missing collaboration agreements may impact the Applicant’s score.

ATTACHMENT A — REQUIRED

REQUIRED TO BE SUBMITTED WITH THE APPLICATION BY 5:00 P.M CST, APRIL 1, 2011, OR THE APPLICATION WILL NOT BE CONSIDERED.

STATEMENTS SUPPORTING SUBMISSION OF THE APPLICATION TO OAG CRIME VICTIM SERVICES DIVISION

INSTRUCTIONS: Initial each numbered line and submit this signed required Attachment with the Application.

LEGAL NAME OF APPLICANT: _____

UNIQUE APPLICATION NUMBER: _____

1. _____ **THIS APPLICATION IS FOR** (check one):

- _____ Other Victim Assistance Grant (OVAG)
- _____ Victim Coordinator and Liaison Grant (VCLG)
- _____ Sexual Assault Prevention and Crisis Services (SAPCS) – State Funds
- _____ Sexual Assault Prevention and Crisis Services (SAPCS) – Federal Funds

2. _____ **TRUE AND CORRECT INFORMATION.** The undersigned certifies that the information contained in this Application, including any attachments are true and correct to the best of his or her knowledge.

3. _____ **OAG CERTIFICATIONS AND ASSURANCES.** The undersigned has read and understands the Certifications and Assurances, contained in the Application Kit.

4. _____ **DEADLINES AND SUBMISSION OF APPLICATION.** The undersigned understands that the deadline for submission is 5:00 p.m. CST April 1, 2011 and that to meet the deadline, the Applicant must submit both paper (hard copies) and electronic (e-mail) documents as required in the Application Kit. The undersigned further acknowledges that:

- It is the Applicant's responsibility to deliver the Application to the OAG in the specified manner and by the specified date and time
- Applications submitted in other formats will not be accepted
- The OAG accepts no responsibility for delays in shipping and courier services
- Late Applications will not be considered under any circumstance and will be returned unopened
- Proof of sending a document by e-mail or other means is not proof that the OAG received the information

5. _____ **JOB DESCRIPTIONS FOR EACH REQUESTED POSITION.** The undersigned understands that the most recent job description for each position requested in the proposed budget should be attached and submitted with the Application. Missing job descriptions may impact the Applicant's score.

6. _____ **RESOLUTION OF GOVERNING BODY.** The undersigned states it is either submitting the Resolution of Applicant's governing body with this Application or will submit one at a later date as established by the OAG. If the timing of the Application due date and requirements of the Open Meetings Act or other requirements prevents the governing body from reviewing and approving the Resolution, then it may be submitted to the OAG at a later date.

Signature/Title

Printed Name

Date

ATTACHMENT B —REQUIRED

REQUIRED TO BE SUBMITTED WITH THE APPLICATION BY 5:00 P.M. CST APRIL 1, 2011. IF THE TIMING OF THE APPLICATION DUE DATE AND REQUIREMENTS OF THE OPEN MEETINGS ACT OR OTHER REQUIREMENTS PREVENTS THE GOVERNING BODY FROM REVIEWING AND APPROVING THE RESOLUTION, THEN IT MAY BE SUBMITTED TO THE OAG AFTER THE DUE DATE.

(SAMPLE FORM OF RESOLUTION OF GOVERNING BODY)

RESOLUTION OF GOVERNING BODY

LEGAL NAME OF APPLICANT: _____

UNIQUE APPLICATION NUMBER (if applicable): _____

Be it known as follows:

WHEREAS, the _____, [Name of Applicant] has applied or wishes to apply to the Office of the Attorney General, (OAG) Crime Victim Services Division for the following grant program (check one):

- _____ Other Victim Assistance Grant (OVAG)
- _____ Victim Coordinator and Liaison Grant (VCLG)
- _____ Sexual Assault Prevention and Crisis Services (SAPCS) – State Funds
- _____ Sexual Assault Prevention and Crisis Services (SAPCS) – Federal Funds

WHEREAS, the _____,
[Name of Governing Body of Applicant, such as City Council, County Commissioners' Court or Board of Directors], has considered and supports the Application filed or to be filed with the OAG;

WHEREAS, the _____, [Name of Applicant] has designated or wishes to designate the following individual as the “Authorized Official” who is given or has been given the power to apply for, accept, reject, alter, or terminate that certain grant with the OAG, Crime Victim Services Division as well as given the authority to sign all grant adjustment requests, inventory reports, progress reports and financial reports or any other official documents related to the grant on behalf of the grantee:

Name of Person Designated as “Authorized Official”: _____

Position Title: _____

NOW THEREFORE, BE IT RESOLVED that this governing body approves the submission of the Application to the OAG, Crime Victim Services Division as well as the designation of the Authorized Official.

Signature

Printed Name

Date